

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kurt W. Piersol, et al.

Application No.: 09/439,152

Filed: November 12, 1999

For: A SYSTEM FOR CAPTURING FACSIMILIE IN AN  
ELECTRONIC DOCUMENT MANAGEMENT  
SYSTEM

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed December 14, 1999, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of  
37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Petition for a \_\_ month extension of time under 37 C.F.R. §  
1.136(a); and

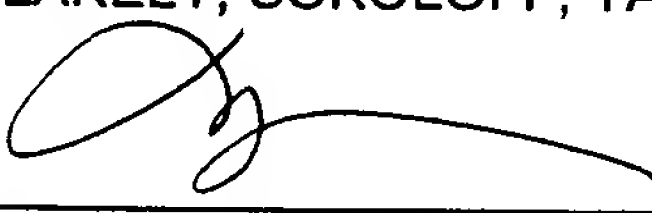
(5) a check in the amount of \_\_\_\_\_ in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 2/10/00

  
\_\_\_\_\_  
Michael J. Mallie  
Reg. No. 36,591

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 2-8-00

Date of Deposit

Sharon M. Osofsky

Name of Person Mailing Correspondence

  
Signature

2-8-00  
Date



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 12, 2000

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MAY 12 2000



\*101296193A\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 WILSHIRE BOULEVARD

7TH FLOOR

LOS ANGELES, CALIFORNIA 90025

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

74451 P106

MTM

Rush Corporation

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/14/2000

REEL/FRAME: 010616/0121  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PIERSOL KURT W.

DOC DATE: 01/18/2000

ASSIGNOR:

ITO, TATSUO

DOC DATE: 01/17/2000

ASSIGNEE:

RICOH CO., LTD.

15-5 MINAMI-AOYAMA 1-CHOME MINATO-KU

TOKYO, JAPAN 107-8544

SERIAL NUMBER: 09439152

PATENT NUMBER:

FILING DATE: 11/12/1999

ISSUE DATE:

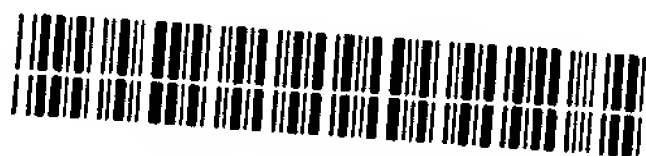
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MAY 23 2000

STATUS DB-LA

PATENT

03-22-2000



101296193

RE

EET

2-14-00

TO: The Commissioner of Patents and Trademarks : Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New  
☐ Resubmission (Non- Recordation)  
Document ID # \_\_\_\_\_  
☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
☐ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type

- ☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other \_\_\_\_\_

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Attorney Docket No: 74451.P106

Conveying Party (ies)

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (1st party) Kurt W. Piersol

1/18/00

Name (2nd party) Tatsuo Ito

1/17/00

Name (3rd party) \_\_\_\_\_

Name (4th party) \_\_\_\_\_

Receiving Party

☐ Mark if additional names of receiving parties attached

☒ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Ricoh Co., Ltd.

Name (line 2) \_\_\_\_\_

Address (line 1) 15-5 Minami-Aoyama 1-Chome Minato-ku

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Tokyo

Japan

107-8544

City

State/Country

Zip Code

Domestic Representative Name and Address

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name Ricoh Silicon Valley

Address (line 1) 2882 Sand Hill Road

Address (line 2) Suite 115

Address (line 3) Menlo Park, California 94025-7022

Address (line 4) \_\_\_\_\_

03/21/2000 DNGUYEN 00000012 09439152

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40.00 DP

406

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

**Area Code and Telephone Number** 408-720-8300

Name Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 1) 12400 Wilshire Boulevard

Address (line 2) 7th Floor

Address (line 3) Los Angeles, California 90025

Address (line 4) \_\_\_\_\_

**Pages**

Enter the total number of pages of the attached conveyance document.

# 2

**Application Number (s) or Patent Number (s)**

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number (s)**

**Patent Number (s)**

09439152

If this document is being filed together with a **new** Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a  
U.S. Application Number has not been  
assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

**Fee Amount for Properties Listed (37 CFR 3.41):** \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

**Deposit Account Number:** # 02-2666

**Authorization to charge additional fees:**

Yes ☒

No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Michael J. Mallie

36,591



2/3/0

Name of Person Signing

Registration No.

Signature

Date

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**FEE TRANSMITTAL FOR FY 2000****TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:**Application No. 09/439,152Filing Date November 12, 1999First Named Inventor Kurt W. PiersolGroup Art Unit 2722Examiner Name Not AssignedAttorney Docket No. 74451.P106**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility application filing fee	
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					\$ 0

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>20</u>	- 20** =	X	
Independent Claims	<u>3</u>	- 3** =	X	
Multiple Dependent				

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	<u>130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	690	246	345	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	690	249	345	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Michael J. Mallie

Signature \_\_\_\_\_

Date 2/8/00Reg. Number 36,591

Deposit Account User ID \_\_\_\_\_

02-2666

(complete if applicable)

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on 2-8-00

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Sharon M. Osofsky

Name of Person Mailing Correspondence

Sharon M. Osofsky 2-8-00

Signature

Date

Serial/Patent No.: 09/439,152 Filing/Issue Date: 11/12/99

Client: Ricoh Corporation

Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

BSIZ File No.: 74451.P106

Atty/Secty Initials: MJM/smo

Date Mailed: 2-8-00

Docket Due Date: 2/14/00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                      | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. <u>33209</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                            | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>\$130.00</u>                                       |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)          | <input type="checkbox"/> Information Disclosure Statement & PTO 149 (____ pgs.)      | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                 | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                   | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                          | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)              | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                    | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                       | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                               | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                   | <input checked="" type="checkbox"/> Response to Notice of Missing Parts              |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.)                       | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                  | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                    |  |

☒ Other: PTO Form 1533



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Sharon M. Osofsky

Name of Person Mailing Correspondence

Sharon M. Osofsky

Signature

Date

2-8-00

Serial/Patent No.: 09/439,152 Filing/Issue Date: 11/12/99

Client: Ricoh Corporation

Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

BSTZ File No.: 74451.P106

Atty/Secy Initials: MJM/smo

Date Mailed: 2-8-00

Docket Due Date: 2/14/00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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|--|--|---|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. 33209 |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: \$130.00                                       |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)     | <input type="checkbox"/> Check No. _____            |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____  |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                     | <input type="checkbox"/> Notice of Appeal  |   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                              |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |   |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |   |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |   |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |   |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |   |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input checked="" type="checkbox"/> Response to Notice of Missing Parts              |   |
| <input checked="" type="checkbox"/> Declaration & POA (5 pgs.)                                 | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |   |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |   |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                    | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                    |   |

☒ Other: PTO Form 1533

**Correspondent Name and Address**

**Area Code and Telephone Number** 408-720-8300

Name Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 1) 12400 Wilshire Boulevard

Address (line 2) 7th Floor

Address (line 3) Los Angeles, California 90025

Address (line 4) \_\_\_\_\_

**Pages**

Enter the total number of pages of the attached conveyance document.

# 2

**Application Number (s) or Patent Number (s)**

☐ Mark if additional numbers attached

*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

**Patent Application Number (s)**

**Patent Number (s)**

09439152

If this document is being filed together with a **new** Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a  
U.S. Application Number has not been  
assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

**Fee Amount for Properties Listed (37 CFR 3.41):** \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

**Deposit Account Number:** # 02-2666

**Authorization to charge additional fees:**

Yes ☒

No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Michael J. Mallie

36,591

**Name of Person Signing**

**Registration No.**

**Signature**

**Date**

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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MMJ

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

**RECEIVED**

DEC 17 1999

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

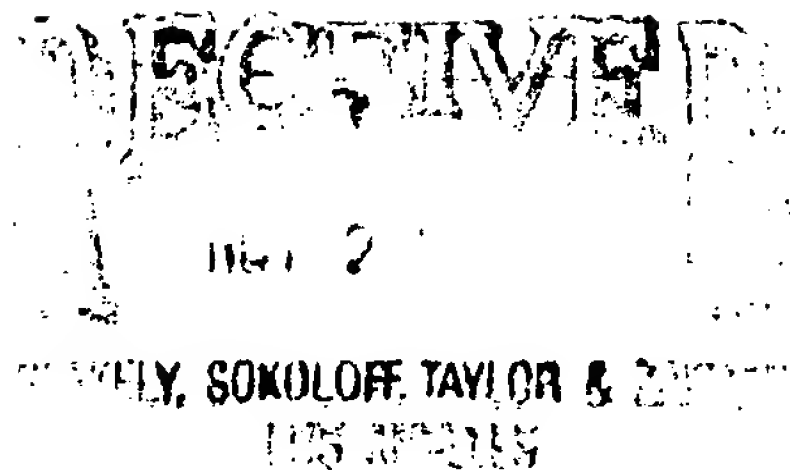
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Everett Williams*

Customer Service Center



Serial/Patent No.: \*\*\*\* Filing/Issue Date: \*\*\*\*

Client: Ricoh

Title: SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

BSTZ File No.: 74451.P106 Atty/Secty Initials: MJM/smo

Date Mailed: November 12, 1999 Docket Due Date: \*\*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input checked="" type="checkbox"/> Express Mail No. <u>EL348719602US</u> Check No. <u>31636</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time Amt: <u>\$856.00</u>
<input checked="" type="checkbox"/> Application - Utility ( <u>32</u> pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO 149 (____ pgs.)
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/439,152	11/12/1999	2722	986	74451.P106	9	21	4

 MICHAEL J MALLIE  
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**Applicant(s)**
 KURT W. PIERSOL, SANTA CRUZ, CA ;  
 TATSUO ITO, KANAGAWA, JAPAN;
**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 12/13/1999

**Title**
 SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT  
 MANAGEMENT SYSTEM

ENTERED

MAR 29 2000

STATUS DB-LA

**Preliminary Class**

358

Data entry by : WILLIAMS, EVERETT

Team : OIPE

Date: 03/20/2000



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Serial/Patent No.: 09/439,152 Filing/Issue Date: 11/12/99  
Client: Ricoh Corporation  
Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN  
ELECTRONIC DOCUMENT MANAGEMENT SYSTEM  
BSTZ File No.: 74451.P106 Atty/Secty Initials: MJM/smo  
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2/4/2000	U.S. Patent and Trademark Office notice to file missing parts filing fee for A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM USSN: 09/439,152 FILED: 11/12/99 INV; Piersol, et al. 74451.P106 MJM/emo Ricoh Corporation	9670.1	\$ 130.00

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Serial/Patent No.: 09/439,152 Filing/Issue Date: 11/12/99  
Client: Ricoh Corporation  
Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN  
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Name (1st party) Kurt W. Piersol

1/18/00

Name (2nd party) Tatsuo Ito

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Name (line 2) \_\_\_\_\_

Address (line 1) 15-5 Minami-Aoyama 1-Chome Minato-ku

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Address (line 3) Tokyo

Japan

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09655275	8033	05-SEP-00	42390.P9000
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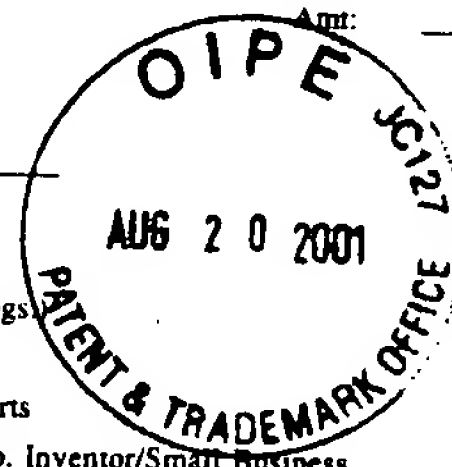
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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
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Serial/Patent No.: 09/439,152 Filing/Issue Date: 11/12/1999  
Client: Ricoh  
Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN  
ELECTRONIC DOCUMENT MANAGEMENT SYSTEM  
BSTZ File No.: 74451.P106 Atty/Secty Initials: MJM/mzb  
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